



3738

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.: Carl A. Caspers  
Serial No.: 10/615,203  
Filed: July 7, 2003  
For: SOCKET LINER FOR ARTIFICIAL LIMB WITH PERMANENT  
ATTACHMENT TO SOCKET  
Attorney: Gerald E. Helget  
Attorney Docket No.: 33062.116  
Additional Fees: Charge to Deposit Account 023732

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL COVER LETTER

Sir:

Enclosed for filing are the following:

1. Transmittal of Supplemental Information Disclosure Statement Before Mailing Date of a First Office Action;
2. Information Disclosure Statement with one hundred thirty five (135) cited references; and
3. Postcard receipt.

Respectfully submitted,

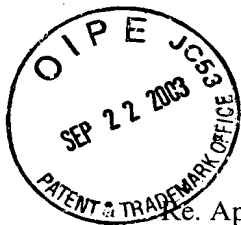
Dated: 16 Sept 03

By Gerald E. Helget  
Gerald E. Helget (30,948)  
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80 South Eighth Street  
Minneapolis, MN 55402  
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CERTIFICATE OF MAILING

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By Gerald E. Helget  
Date 16 Sept 03



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**TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT BEFORE MAILING DATE OF A FIRST OFFICE ACTION**

Applicant submits herewith the references listed on the attached Information  
Disclosure Statement by Applicant.

This Information Disclosure Statement is being filed before the mailing date of a first  
Office Action on the merits.

Respectfully submitted,

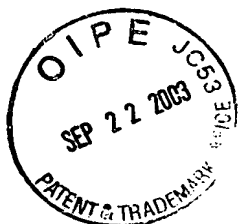
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PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/615,203	
			Filing Date	July 7, 2003	
			First Named Inventor	Carl A. Caspers	
			Group Art Unit	N/A	
Sheet	1	of	6	Examiner Name	N/A
				Attorney Docket Number	33062.116

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US- D429,335	08-08-2000	Carl A. Caspers	
	2	US- 980,457	01-03-1911	Justin Toles	
	3	US- 2,424,278	07-22-1947	Paul W. Kunkel	
	4	US- 2,464,443	03-15-1949	Charles V. Ganoie, et al	
	5	US- 2,530,285	11-14-1950	John G. Catranis	
	6	US- 2,533,404	12-12-1950	Oscar Sharp, et al	
	7	US- 2,606,325	08-12-1952	Carl A. Nelson, et al	
	8	US- 2,664,572	01-05-1954	Emmett Blevens	
	9	US- 2,671,225	03-09-1954	Waldemar Schoene, et al	
	10	US- 2,696,010	12-07-1954	George B. Robinson	
	11	US- 2,696,011	12-07-1954	John J. Galdik	
	12	US- 2,790,180	04-30-1957	Adolph F. Hauser	
	13	US- 2,808,593	10-08-1957	Algot Andersen	
	14	US- 3,253,600	05-31-1966	William M. Scholl	
	15	US- 3,322,873	05-30-1967	Paul F. Hitchcock	
	16	US- 3,377,416	04-09-1968	Edward J. Kandel	
	17	US- 3,393,407	07-23-1968	Edward J. Kandel	
	18	US- 3,557,387	01-26-1971	Cord W. Ohlenbusch, et al	
	19	US- 3,631,542	01-04-1972	Allan G. Potter	
	20	US- 3,712,298	01-23-1973	Charles Snowden, et al	

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	21	AU-670631	06-01-1995	Carl A. Caspers		
	22	BE-675,386	05-16-1966	David Beldzisky		
	23	CA-2,098,945	04-14-1994	Carl A. Caspers		
	24	DE-745981	05-22-1944	Spitzfadem		
	25	DE-2712342A	09-29-1977	David Beldzisky		
	26	DE-2729800	01-04-1979	Walter Surerus		
	27	DE-3221920	04-07-1983	Walter Surerus		
	28	DE-4217877	12-03-1992	Velez Segura		
	29	DE-4321182	12-01-1994	Fromut Mitterer		

Examiner Signature		Date Considered	
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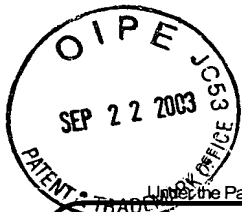
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 6

### Complete if Known

Application Number	10/615,203
Filing Date	July 7, 2003
First Named Inventor	Carl A. Caspers
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	33062.116

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		Number - Kind Code <sup>2</sup> (if known)			
	30	US- 3,732,578	05-15-1973	Herman G. Pollak	
	31	US- 3,751,733	08-14-1973	James C. Fletcher, et al	
	32	US- 3,858,379	01-07-1975	David J. Graves, et al	
	33	US- 3,895,405	07-22-1975	Clyde A. Edwards	
	34	US- 3,975,350	08-17-1976	Donald Edward Hudgin	
	35	US- 3,991,424	11-16-1976	Jan Prahl	
	36	US- 4,283,800	08-18-1981	Michael T. Wilson	
	37	US- 4,314,398	02-09-1982	Torsten L.E. Pettersson	
	38	US- 4,381,768	05-03-1983	Charles W. Erichsen, et al	
	39	US- 4,404,296	09-13-1983	Dietmar Schapel	
	40	US- 4,456,642	06-26-1984	Hans-Heribert Burgdorfer	
	41	US- 4,466,936	08-21-1984	Dieter Schapel	
	42	US- 4,479,272	10-20-1984	David Beldzisky	
	43	US- 4,623,354	11-18-1986	Dudley Childress	
	44	US- 4,634,446	01-06-1987	Ossur Kristinsson	
	45	US- 4,635,626	01-13-1987	Max Lerman	
	46	US- 4,704,129	11-03-1987	Peyton L. Massey	
	47	US- 4,822,371	04-18-1989	David F. Jolly, et al	
	48	US- 4,828,325	05-09-1989	William R. Brooks	
	49	US- 4,888,829	12-26-1989	George J. Kleinerman, et al	
	50	US- 4,908,037	03-13-1990	Michael R. Ross	

### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	51	DE-9419211	03-16-1995	Felix Carstens		
	52	DE-9417913	04-20-1995	Jurgen Voss		
	53	DE-9418210.8	03-02-1995	Sartorius AG		
	54	DE-29905020	07-15-1999	Medi Bayreuth Weihermuller		
	55	EP-0057838	08-18-1982	Bergdorfer		
	56	EP-0057839	08-18-1982	Schapel		
	57	EP-0086147	08-17-1983	David Beldzisky		
	58	EP-0261884	03-30-1988	Brian Edward Causton		
	59	EP-0320170	06-14-1989	Edward George		

Examiner  
Signature

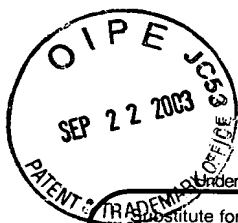
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 6

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Application Number	10/615,203
Filing Date	July 7, 2003
First Named Inventor	Carl A. Caspers
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	33062.116

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		Number - Kind Code <sup>2</sup> (if known)			
	60	US- 4,923,475	05-08-1990	Barry M. Gosthonian, et al	
	61	US- 5,007,937	04-16-1991	Sidney Fishman, et al	
	62	US- 5,108,455	04-28-1992	Madan M. Telikicherla	
	63	US- 5,133,776	07-28-1992	Dan M. Crowder	
	64	US- 5,139,523	08-18-1992	Matthew T. Paton, et al	
	65	US- 5,163,965	11-17-1992	James K. Rasmussen, et al	
	66	US- 5,211,667	05-18-1993	Michael B. Danforth	
	67	US- 5,221,222	06-02-1993	William H. Townes	
	68	US- 5,258,037	11-02-1993	Carl A. Caspers	
	69	US- 5,314,497	05-24-1994	John N. Fay, et al	
	70	US- 5,362,834	11-08-1994	Dietmar Schapel	
	71	US- 5,376,129	12-27-1994	Virgil W. Faulkner, et al	
	72	US- 5,376,131	12-27-1994	John F. Lenze, et al	
	73	US- 5,376,132	12-27-1994	Carl A. Caspers	
	74	US- 5,397,628	03-14-1995	Jerald M. Crawley, et al	
	75	US- 5,507,834	04-16-1996	Aldo A. Laghi	
	76	US- 5,534,034	07-09-1996	Carl A. Caspers	
	77	US- 5,549,709	08-27-1996	Carl A. Caspers	
	78	US- 5,571,208	11-05-1996	Carl A. Caspers	
	79	US- 5,593,454	01-14-1997	Nashat N. Helmy	

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	80	EP-0363654	04-18-1990	Matti J.O. Filppula		
	81	EP-0631765	01-04-1995	John F. Lenze		
	82	EP-0650708	05-03-1995	Carl A. Caspers		
	83	EP-0870485	10-14-1998	Nashat N. Helmy		
	84	EP-0019612	11-26-1980	Gerhard Grossberger		
	85	FR-1135516	09-23-1960	David Beldzisky		
	86	FR-1532625	07-28-1968	Henri Royer		
	87	FR-2420335	10-19-1979	Proteor		
	88	FR-2501999	09-24-1982	Jean-Claude Goudou		

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Date  
Considered

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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STATEMENT BY APPLICANT**

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Sheet 4 of 6

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Filing Date	July 7, 2003
First Named Inventor	Carl A. Caspers
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	33062.116

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		Number - Kind Code <sup>2</sup> (if known)			
	89	US- 5,658,353	08-19-1997	Harry W. Layton	
	90	US- 5,658,354	08-19-1997	Jean Norvell	
	91	US- 5,702,489	12-30-1997	Tracy C. Slemker	
	92	US- 5,728,167	03-17-1998	Klaus H. Lohmann	
	93	US- 5,728,168	03-17-1998	Aldo A. Laghi, et al	
	94	US- 5,728,169	03-17-1998	Jean Norvell	
	95	US- 5,728,170	03-17-1998	Karl Becker, et al	
	96	US- 5,735,906	04-07-1998	Carl A. Caspers	
	97	US- 5,830,237	11-03-1998	Bruce G. Kania	
	98	US- 5,888,216	03-30-1999	Louis J. Haberman	
	99	US- 5,888,230	03-30-1999	Nashat N. Helmy	
	100	US- 5,888,231	03-30-1999	Timothy C. Sandvig, et al	
	101	US- 5,904,721	05-18-1999	Phillippe Henry, et al	
	102	US- 5,904,722	05-18-1999	Carl A. Caspers	
	103	US- 6,063,125	05-16-2000	Robert E. Arbogast, et al	
	104	US- 6,117,177	09-12-2000	Sen-Jung Chen, et al	
	105	US- 6,231,616	05-15-2001	Nashat N. Helmy	
	106	US- 6,231,617	05-15-2001	John N. Fay	
	107	US- 6,273,918	08-14-2001	Jason R. Yuhasz	
	108	US- 6,287,345	09-11-2001	Tracy C. Slemker, et al	
	109	US- 6,508,842	01-21-2003	Carl A. Caspers	

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	110	GB-267988	03-18-1927	Charles Blatchford		
	111	GB-2068847	09-03-1981	Charles Blatchford		
	112	GB-2087727	06-03-1982	John Richard Ronald		
	113	GB-2149309	06-12-1985	Charles Blatchford		
	114	GB-136504	01-08-1920	Emmett Blevens		
	115	JP-7155343	06-20-1995	Noboru Takitani		
	116	SU-1771722	10-30-1992			
	117	SU-1812982	04-30-1993			
	118	SU-1821177	06-15-1993			

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SignatureDate  
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Sheet 5 of 6

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	119	US- 6,554,868	04-29-2003	Carl A. Caspers	
	120	US- US2001/0005798	06-28-2001	Carl A. Caspers	
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	121	WO-84/00881	03-15-1984	Kjell Engstrom		
	122	WO-95/05792	03-02-1995	Jean Norvell		
	123	WO-96/21405	07-18-1996	Klaus Lohmann		
	124	WO-98/04218	02-05-1998	Robert E. Arbogast, et al		
	125	WO-98/55055	12/10/1998	Carl A. Caspers		
	126	WO-99/65434	12-23-1999	J. Martin Carlson		
	127	WO-00/03665	01-27-2000	Carl A. Caspers		
	128	WO-00/74611	12-14-2000	Carl A. Caspers		
	129	WO-01/54631	08-02-2001	Carl A. Caspers		
	130	WO-01/70147	09-27-2001	Carl A. Caspers		

Examiner  
Signature

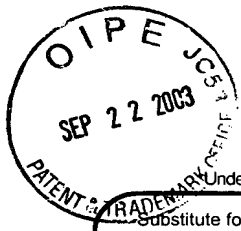
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/615,208
		Filing Date	July 7, 2003
		First Named Inventor	Carl A. Caspers
		Group Art Unit	N/A
		Examiner Name	N/A
Sheet 6	Of 6	Attorney Docket Number	33062.116

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	131	Solomons, Organic Chemistry (6 <sup>th</sup> Ed.), John Wiley & Sons, Inc., New York, 1996, pp. 853-854.	
	132	SympaTex Technologies GmbH Data Sheets (5 pgs.).	
	133	SealSkinz Waterproof and Breathable Socks and Gloves – How do they work? Web Pages (4 pgs.).	
	134	Gore Tex Web Page (2 pgs.), "Everyone talks about the Weather, but Nobody Does Anything About It", Mark Twain, 7-3-2001.	
	135	Gill Web Page (2 pgs.); Bike Gear & Apparel, July 3, 2001.	

Examiner Signature		Date Considered	
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